

21-23 April 2010  
Regal Hongkong Hotel

# BEST PRACTICES for COMBATING ECONOMIC CRIME SERIES

Our Best Practices for Combating Economic Crime Series consists of four master classes which address comprehensive areas of AML, cybercrime & e-discovery, corporate fraud prevention as well as anti-corruption. They will provide you with the know-how you need to prevent, detect and investigate the potential crime situations.

Knowledge Partner

**KROLL**

Official Training Sponsor

**KORNERSTONE**

Institute of Corporate & Professional Development

Supporting Organizations



International Compliance Association  
Excellence through Education



CHARTERED  
SECRETARIES  
特許秘書

Media Partners



## Interactive Workshop Format!

- ▶ These mindfully designed programs allow you to deep dive into the core of the economic crime pandemic.
- ▶ You will be split into group to discuss real life case studies and discover practical solutions for possible challenges through roundtable discussion, brainstorming and think tank sessions.
- ▶ We will also provide a pre-masterclass questionnaire to identify your training needs prior to the course.
- ▶ We ensure you will walk away with all questions answered and valuable knowledge that can be applied directly in workplace.

## Key Benefits of Attending the Workshops:

- ▶ Assessing current development and trends of economic crime in Hong Kong and other Asia Pacific regions
- ▶ Mastering effective measures to assess and mitigate risk
- ▶ Possessing holistic techniques and tools to detect and investigate potential fraudulent activities
- ▶ Benchmarking the effectiveness of your anti-economic crime policies and procedures in prevention of economic crime
- ▶ Gaining expert suggestions to counter future economic crime through interactive round-table discussions

## Who Should Attend?

CEOs, General Managers, Vice Presidents, Heads, Directors, Managers and leaders of

- Compliance and legal
- Finance and accounting
- Fraud investigation
- Audit
- AML
- Internal control
- Risk management
- IT security

As well as fraud investigators, lawyers and business consultants.

To register, please contact  
[Eunice.mak@innocell.net](mailto:Eunice.mak@innocell.net)



# BEST PRACTICES for COMBATING ECONOMIC CRIME SERIES

21 April 2010

## WORKSHOP A Anti- Money Laundering Masterclass duration: 9:00am -5:00pm

Anti-money laundering (AML) controls are designed to identify the risk that customers may engage in criminality using the services of financial institutions. On risk identification financial institutions are expected to take a range of steps in response, ranging from seeking further information to better understand the risk through to refusing to accept a person as a customer.

Learn about the following keys to a successful AML program which will be explored using case studies and group exercises:

- Culture and ownership of AML
- Risk identification and design of controls
- Data quality issues and solutions
- Testing and assurance processes
- Management oversight and reporting
- Compliance with reporting requirements to regulators
- Sharing intelligence across corporate groups

The workshop will also cover key elements of the AML regulations and regimes across China and Asia.



### Course leader

Joy Geary

Director of AML Master Pty Ltd

Admitted to practise as a lawyer in 1981, Joy has worked with two of Australia's major law firms (Freehills and Mallesons) as well as with two intellectual property firms in Chicago. She has operated as an independent consultant since 1997, predominantly in the financial services sector, amalgamating legal skills with her knowledge of compliance, operational risk and business processes.

Her company, AML Master is the publisher of editable templates used by over forty reporting entities to comply with Australia's AML/CTF laws. She has trained nearly 500 people in implementation strategies in AML/CTF and is the Editor of the Australian Financial Markets Association Anti-Money Laundering magazine. Her clients include some of Australia's top banks and wealth management companies.

She spoke at the International Symposium on Economic Crime at Jesus College Cambridge in 2008. She recently acted as an expert witness for an overseas financial institution in relation to a legal proceeding taken by a regulator in its jurisdiction (not Australia) covering AML/CTF issues.



### Guest Speaker

Xin "Joe" Zhang

Attorney At Law, Diaz Reus

Skilled Shanghai-based, multilingual (English, Chinese, Japanese) international attorney with extensive experience serving U.S., Chinese and multinational business clients in Financial Crime and AML matters.

Prior to joining Diaz Reus, worked for Washington State Supreme Court, Seattle offices of Perkins Coie LLP, and Gordon Thomas Honeywell LLP.



### Guest Speaker

Xiaomin (Samantha) Hu

Attorney At Law, Diaz Reus

Bilingual Chinese native experienced in both American and PRC legal systems. A U.S. trained attorney and fluent speaker of English and Mandarin Chinese, skilled in corporate affairs, including but not limited to AML, advising public and private entities on investment, and corporate fraud related matters.

Formerly associated with law firms in Hangzhou and Los Angeles. Knowledgeable in U.S. businesses on opportunities for investment, challenges and risks in China.

Anti-Money Laundering Certified Specialist.

22 April 2010

## WORKSHOP B Computer Crime and e-Discovery Masterclass duration: 9:00am -5:00pm

Prevailing trend and methods used in Cybercrime

- Industrial espionage, theft of corporate sensitive information, leakage of privileged data, ID theft, personal data privacy, intellectual property infringement, social engineering techniques, use of spyware, crimeware-as-a-service, etc.

Corporate Strategies to prevent and practical measures to combat Cybercrime

- Lessons learned from case studies
- Cost-effective methods to prevent and detect computer abuse

IT laws and related management issues

- Crimes Ordinance, Evidence Ordinance, Personal Data Privacy Ordinance, Electronic Transaction Ordinance, Copyright Ordinance, etc.

Computer Forensics and Digital Trails

- Why computer forensics is important to your e-Business activities?
- Managing a digital investigation - challenges and practical tips
- Basic understanding of computer forensics and digital evidence
- Computer forensics and corporate incident response strategy
- Identifying, collecting and analyzing digital evidence to protect corporate digital assets and your competitive advantages

What is e-Discovery and its implication to corporate IT infrastructure and Information Management?



### Course leader

Dr. Hilton Chan

Chairman of Centinel Limited

Dr. CHAN has extensive experience and training in the field of computer crime investigation and digital forensics much gained during some twenty years of service in the Hong Kong Police Force from which he retired in 2008 at the rank of Senior Superintendent of Police. During his early police career Dr. CHAN proved himself to be a capable criminal investigator before joining the Criminal Intelligence Bureau where he first started to design secure Information Systems and implement knowledge management using various analytical tools.

Dr. CHAN became head of the Commercial Crime Bureau Computer Crime Unit in 1999 and founded the Force Technology Crime Division between 1999 and 2003. Dr. Chan established the digital investigation, computer forensics and cyber intelligence capabilities for the Hong Kong Police and also developed accredited training for the police, ICAC, Customs and Excise Department, Immigration Department and the Department of Justice with the local universities. He also established close ties with the FBI, Royal Canadian Mounted Police, Hi-Tech Crime (UK), on international best practices for computer forensics and digital evidence recovery.

Dr. Chan is one of the founding members of the Information Security and Forensics Society in Hong Kong and also established the first Asian Computer Crime Investigation and Computer Forensics Training with Prof. Sam Chanson at the Hong Kong University of Science and Technology (HKUST) in 1999, and the post-graduate Diploma in IT Forensics with Prof. K.P. Chow at the Hong Kong University SPACE in 2008. Between 2001 and 2004, Dr. Chan served on the Board of Directors of the Society for the Policing of Cyberspace (POLCYB) in Canada.

# BEST PRACTICES for COMBATING ECONOMIC CRIME SERIES

22 April 2010

## WORKSHOP C

### Corporate Fraud Prevention and Control

Masterclass duration: 9:00am -5:00pm

The nature of economic crime, particularly fraud:

- Generic and sector specific
- Global and regional perspectives

Systematic targeting by transnational crime groups of the financial sector:

- Nature
- Impact
- Consequences

The economic crime threat environment:

- Geo-political and economic risks to financial institutions
- The real threat to electronically held corporate and consumer information

The sacred cow of risk management:

- Evolving from static to proactive risk management strategies

Anticipating and mitigating the threat of fraud:

- Lateral thinking in intelligence gathering and analysis

Common white-collar crime we see in Asia today:

- Corruption
- Employee and management fraud
- Supply chain fraud
- Initial response and developing a solid investigation plan
- Thinking about computer forensics

Case studies of different scenarios:

- Scenario 1 - Whistle blowing letters
- Scenario 2 - Theft of trade secret



### Course leader

Rob McCusker

Director, Reader in Fraud and Financial Crime, Centre for Fraud and Financial Crime, University of Teesside

Rob McCusker is also the Transnational Crime Adviser to the Australian Institute of Criminology for whom he is currently consulting with key government, regulatory and law enforcement agencies in Europe and the United Kingdom on anti-money laundering and counter-terrorist financing issues.

Within Australia, Rob has worked with a number of agencies including the Australian Crime Commission, the Australian Federal Police, the Australian High Tech Crime Centre and the Office of National Assessments on a range of transnational crime issues, including those pertaining to the Pacific Islands. Overseas, Rob has worked with the Specialist Crime Directorate of the Metropolitan Police and the Federal Bureau of Investigation and has presented at a number of international fora including APEC, the United Nations and the Council of Europe.



### Case Study Knowledge Partner

Tadashi Kageyama

Senior Managing Director and Head of Investigations for Asia

**KROLL**

Tadashi Kageyama specializes in business intelligence, investigations, and risk consulting services for corporate clients and government agencies. Mr. Kageyama helps clients respond to and mitigate the risk of fraud, dispute and litigation, regulatory and compliance violations, intellectual property theft, and the theft of assets and information.

Prior to joining Kroll, Mr. Kageyama was a global purchasing agent for Mitsubishi Heavy Industries, Ltd and spent three years in the Tokyo head office and four years in the US head office in New York. He was responsible for producing supplier and competitor intelligence reports, market and industry analysis reports, drafting and implementing vendor controls, and providing litigation support for Mitsubishi's global procurement operations. Mr. Kageyama's responsibilities also included negotiating and securing a number of multimillion-dollar contracts with suppliers worldwide and investigating suspicious and disputed contracts.

23 April 2010

## WORKSHOP D

### Anti-Corruption and FCPA in Asia

Masterclass duration: 9:00am -5:00pm

Anti-Corruption and FCPA in Asia

- Review of corruption and bribery practices in the Asian context
- Key success factors in combating corruption and what are lacking in some Asian countries
- The role of business sector in combating corruption
- Comprehensive update on FCPA enforcement trends and their implications for U.S. and foreign companies
- The comparisons of FCPA and the requirements of the local jurisdiction
- The nuts and bolts of conducting an FCPA internal investigation

Reinforcement of an Organisational Anti-corruption Program

- Type of business corruption and corruption related fraud
- Understanding of conflict of interest
- Manage risk in investment transactions such as M&A and joint ventures as well as various third-party relationships
- Practical day-to-day operational challenges: Gift, travel and entertainment (GTE) policy
- Monitor and audit the execution of anti-corruption program
- When prevention fails: Internal investigations and disclosure issues
- Investigation technique required in internal investigation
- Interactive Q&A: Insights and practical advice from leading industry practitioners



### Course leader

Mr. Tony Kwok Mai-wai

SBS, IDS, Anti-corruption Consultant

Mr. KWOK has 33 years experience in the anti-corruption field, including 27 years of service with the Hong Kong Independent Commission Against Corruption (ICAC), which he joined shortly after its inception in 1975, and hence had witnessed and participated in the successful battle in transforming Hong Kong from a most corrupt place to one of the cleanest city. He retired as Deputy Commissioner and Head of Operations in 2002.

Since his retirement in 2002 he has been invited to 21 countries, such as Japan, South Korea, Philippines, Malaysia, Nigeria, Macau, etc. and 13 provinces in China to provide professional anti corruption consultancy, lectures, and to conduct anti-corruption seminars and workshops. He has taken up a number of anti corruption projects with the World Bank, Asian Development Bank, European Commission, JICA, DFID, British Council, USAID, USAID, The Asia Foundation and UNODC.

In Hong Kong, he assisted the Hong Kong University in designing the world's first International Postgraduate Certificate Course in Corruption Studies and he is the Adjunct Professor and Honorary Course Director.



### Guest Speaker

Ms. Meg Utterback

Partner, Pillsbury Winthrop Shaw Pittman LLP.

Ms. Utterback is managing partner of the firm's Shanghai office and a member of Pillsbury's Litigation group. She represents clients on issues related to white collar crimes and has conducted numerous internal investigations relating to insider trading, self-dealing, embezzlement, FCPA, and PRC law violations. These matters frequently involve labor issues, and in this context, she often renders labor advice regarding terminations and disciplinary actions. She provides internal controls and risk management advice to multinational companies operating in China and Chinese listed companies that are subject to Sarbanes-Oxley requirements.

Her current practice also focuses on: (i) dispute resolution and international arbitration; (ii) contentious and non-contentious construction matters, and (iii) in-bound foreign direct investment.